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NOTICE OF ALLOWANCE AND FEE(S) DUE

27194

7590

07/10/2009

HOWREY LLP-CA C/O IP DOCKETING DEPARTMENT 2941 FAIRVIEW PARK DRIVE, SUITE 200 FALLS CHURCH, VA 22042-2924 EXAMINER

WONG, XAVIER S

ART UNIT PAPER NUMBER

2416 DATE MAILED: 07/10/2009

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/814,729 | 03/30/2004 | Kha H. Nguyen | 02453.0029.NPUS00 | 8796 |

TITLE OF INVENTION: SYSTEM AND METHOD FOR EGRESS PACKET MARKING

| APPLN. | ГҮРЕ | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------|--------|--------------|---------------|---------------------|----------------------|------------------|------------|
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THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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| | | | | | | | (Date) |
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| 10/814,729 | 03/30/2004 | • | Kha H. Nguyen | | 024 | 53.0029.NPUS00 | 8796 |
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| nonprovisional | NO | \$1510 | \$0 | \$0 | | \$1510 | 10/13/2009 |
| EXAM | IINER | ART UNIT | CLASS-SUBCLASS | | | | |
| WONG, X | KAVIER S | 2416 | 370-230000 | | | | |
| 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. | | | registered attorney or agent) and the names of up to | | | | |
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| interest as shown by the | records of the United Sta | ites Patent and Trademark | k Office. | пе аррисані; а геді | stereu | attorney of agent; of th | e assignee of other party in |
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| 27194 7590 07/10/2009 | | | EXAMINER | | |
| HOWREY LLP- | CA | WONG, X | AVIER S | | |
| C/O IP DOCKETING DEPARTMENT | | | ART UNIT | PAPER NUMBER | |
| 2941 FAIRVIEW PARK DRIVE, SUITE 200 FALLS CHURCH, VA 22042-2924 | | | 2416 DATE MAILED: 07/10/200 | 9 | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1153 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1153 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

| | Application No. | Applicant(s) | | | | |
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| | 10/814,729 | NGUYEN ET AL. | | | | |
| Notice of Allowability | Examiner | Art Unit | | | | |
| | Xavier Szewai Wong | 2416 | | | | |
| The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313 1. This communication is responsive to 16th April 2009. | (OR REMAINS) CLOSED in t or other appropriate commun GHTS . This application is su | his application. If not included ication will be mailed in due course. | | | | |
| 2. The allowed claim(s) is/are 60-80 renumbered as 1-21. | | | | | | |
| 3. ☐ Acknowledgment is made of a claim for foreign priority unallocation. a) ☐ All b) ☐ Some* c) ☐ None of the: Certified copies of the priority documents have Certified copies of the priority documents have Copies of the certified copies of the priority documents have Topies of the certified copies of the priority documents have all the priorit | been received. been received in Application | No | m the | | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submit | ENT of this application. itted. Note the attached EXAN | INER'S AMENDMENT or NOTICE | | | | |
| INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. 5. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) hereto or 2 to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date (c) Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. | | | | | | |
| Attachment(s) 1. ☑ Notice of References Cited (PTO-892) 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) 3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material | 6. ☐ Interview Sur Paper No./M 7. ☑ Examiner's A | rmal Patent Application nmary (PTO-413), ail Date mendment/Comment tatement of Reasons for Allowance | | | | |

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EXAMINER'S AMENDMENT

Page 2

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Robert Laurenson on 29th June 2009.

60. (Currently Amended). In a packet modification system for receiving packets, previously classified by a packet classification system, from one or more switch-side devices, modifying the packets to facilitate egress thereof from the packet modification system, and transmitting the modified packets to one or more network-side devices, a packet marker for selectively updating one or more quality of service (QoS) fields in such a modified packet, prior to egress thereof from the packet modification system, comprising:

one or more memories for holding (1) a table associating possible values of <u>for</u> the one or more QoS fields of the packet with an index, (2) values of the one or more QoS fields taken from the packet prior to modification thereof by the packet modification system, (3) possible values of the one or more of the QoS fields independent of the table, and ([[4]]3) one or more egress mark commands; and a packet marker processor for utilizing a link associated with the packet by the packet classification system to access the one or more egress mark commands stored in the one or more memories, and executing such commands to selectively update the one or more QoS fields of the modified packet;

wherein the packet maker processor, upon executing these commands, individually selects, for each of the one or more QoS fields, the process used for updating the field from amongst at least the following processes:

updating the field using the value of the field taken from the packet prior to modification thereof by the packet modification system as stored in the one or more memories;

updating the field using a value obtained by performing a table lookup operation on the table stored in the one or more memories, using as an index in said operation a value obtained or derived from information associated with the packet by the packet classification system; and

updating the field with one of the values stored in the one or more memories independent of the table using a value derived from at least one of the one or more egress mark commands;

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wherein at least one of the one or more QoS fields of the packet are selected from the group comprising a Virtual Local Area Network Priority (VLAN VPRI) field, a Multilabel Protocol Label Switching Exponent (MPLS EXP) field, and an Internet Protocol version 4 Type of Service/version 6 Traffic Class (IPv4ToS/IPv6TC) field.

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62. (Currently Amended) The packet marker of claim 61 where the plurality of QoS fields comprises a Virtual Local Area Network (VLAN) VLAN Priority (VLAN VPRI) field, a Multilabel Protocol Label Switching (MPLS) Exponent (MPLS EXP) field, and an Internet Protocol version 4/version 6 (IPv4ToS/IPv6TC) Terms of Service (ToS) field.

68. (Currently Amended) In a packet modification system for receiving packets, previously classified by a packet classification system, from one or more switch-side devices, modifying the packets to facilitate egress thereof from the packet modification system, and transmitting the modified packets to one or more network-side devices, a packet marker for selectively updating any one or more of Virtual Local Area Network (VLAN) VLAN Priority (VLAN VPRI), Multilabel Protocol Label Switching (MPLS) Exponent (MPLS EXP), and Internet Protocol version 4 Type of Service/version 6 Traffic Class (IPv4ToS/IPv6TC) Terms of Service (ToS) quality of service (QoS) fields in such a modified packet, prior to egress thereof from the packet modification system, comprising:

one or more memories for holding (1) a table associating possible values of for one or more of the VLAN VPRI, MPLS EXP, and IPv4ToS/IPv6TC ToS QoS fields with an index, (2) values of one or more of the VLAN VPRI, MPLS EXP, and IPv4ToS/IPv6TC ToS QoS fields taken from the packet prior to modification thereof by the packet modification system, (3) possible values of one or more of the VLAN VPRI, MPLS EXP and IPv4/IPv6 ToS fields independent of the table, and ([[4]]3) one or more egress mark commands; and

a packet marker processor for utilizing a link associated with the packet by the packet classification system to access the one or more egress mark commands stored in the one or more memories, and executing such commands to selectively update any one or more of the VLAN VPRI, MPLS EXP, and IPv4ToS/IPv6TC ToS QoS fields in the packet;

wherein the packet marker processor, upon executing these commands, individually selects, for each of one or more of the VLAN VPRI, MPLS EXP and IPv4<u>ToS</u>/IPv6<u>TC</u> ToS fields, the process used for updating the field from amongst at least the following processes:

updating the field using the value of the field taken from the packet prior to modification thereof by the packet modification system as stored in the one or more memories;

updating the field using a value obtained by performing a table lookup operation on the table stored in the one or more memories, using as an index in said

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packet classification system.

operation a value obtained or derived from egress mark control information associated with the packet by the packet classification system; and updating the field with one of the values stored in the one or more memories independent of the table using a value derived from at least one of the one or more egress mark commands.

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72. (Currently Amended) The packet marker of claim 68 where the packet marker processing also selects from the following process when individually selecting the process used for updating each of the VLAN VPRI, MPLS EXP, and IPv4ToS/IPv6TC ToS QoS fields of the packet: updating the field using a value obtained by performing a table lookup operation on the table stored in the one or more memories, using as an index in said operation a value obtained or derived from a queue number associated with the packet by the

73. (Currently Amended) In a packet modification system for receiving packets, previously classified by a packet classification system, from one or more switch-side devices, modifying the packets to facilitate egress thereof from the packet modification system, and transmitting the modified packets to one or more network-side devices, a method of selectively updating one or more quality of service (QoS) fields in such a modified packet, prior to egress thereof from the packet modification system, comprising:

holding in one or more memories (1) a table associating possible values of for the one or more QoS fields of the packet with an index, (2) values of the one or more QoS fields of the packet prior to modification thereof by the packet modification system, (3) possible values of the one or more QoS fields independent of the table, and ([[4]]3) one or more egress mark commands; and selectively updating the one or more QoS fields of the packet, comprising

selectively updating the one or more QoS fields of the packet, comprising individually selecting, for each such field, the process used for updating the field from amongst at least the following processes:

updating the field using the value of the field taken from the packet prior to modification thereof by the packet modification system as stored in the one or more memories;

updating the field using a value obtained by performing a table lookup operation on the table stored in the one or more memories, using as an index in said operation a value obtained or derived from information associated with the packet by the packet classification system; and

updating the field with one of the values stored in the one or more memories independent of the table using a value derived from at least one of the one or more egress mark commands;

wherein at least one of the one or more QoS fields of the packet are selected from the group comprising a Virtual Local Area Network Priority (VLAN VPRI) field, a

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Multilabel Protocol Label Switching Exponent (MPLS EXP) field, and an Internet Protocol version 4 Type of Service/version 6 Traffic Class (IPv4ToS/IPv6TC) field.

75. (Currently Amended) The method of claim 74 where the plurality of QoS fields comprises a Virtual Local Area Network (VLAN) VLAN Priority (VLAN VPRI) field, a Multilabel Protocol Label Switching (MPLS) Exponent (MPLS EXP) field, and an Internet Protocol version 4/version 6 (IPv4ToS/IPv6TC) Terms of Service (ToS) field.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

- 1. Mohaban et al, US 2007/0204036 A1: creating policies for policy-based management of quality of service treatments of network data traffic flows
- 2. Deerman et al, US 7002974 B1: QoS processor also includes a packet modification engine, which is operable to modify, add, or delete bits in any of the fields of a data packet; and allows QoS processor to change DiffServ bits, or to place the appropriate MPLS shims on the data packets for the required treatment
- 3. Hussain et al, US 2003/0223361 A1: QoS translator may take the updated LQ header PRI and RM fields and may reverse translate these back to the packet's TOS/EXP field; if the QoS pointer is NULL, then the translation may be skipped
- 4. Jin et al, US 2005/0111360 A1: certain bits of a packet, such as bits in the IP header of an IP packet, are used to designate the type of service or Quality of Service (QoS) level to be afforded to the packet as it passes through a data communications network A user entitled to a certain QoS level logs into a service selection gateway SSG
- 5. Solomon et al, US 2003/0214905 A1: a quality of service coordinator for initializing a change indicator at the start of operation; memory for storing the change indicator during a monitoring period; the quality of service coordinator operable during the monitoring period and further comprising: a frame processor for monitor the received change indicator for changes in the quality of service requirements; a comparator for comparing the received change indicator to the most recently stored updating the change indicator in response to the monitoring step; an updator operable, in response to detecting a change in the change indicator, to update the stored quality of service parameters, and to store the new change indicator

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6. Lee, US 2003/0126286 A1: converting a data packet from a first quality of service (QoS) structure to a second QoS structure to determine a priority level of the data packet received at a system configured to receive data packets of the second QoS structure, comprising: receiving a data packet having a first quality of service (QoS) structure at a system configured for a second QoS structure; analyzing prescribed header information of the received data packet to determine a priority level of the data packet; transforming the priority level information from the header of the received data packet into a corresponding priority level of the second QoS structure using a transformation table; and modifying the header information of the received data packet to include the transformed priority level corresponding to the second QoS structure

- 7. Scott, US 2006/0209796 A1: transmission control module defines the format for each data packet, and updates and synchronizes header information in the data packets
- 8. Kloth et al, US 6798746 B1: IP packet modifier (1) assigning the packet an initial class of service value based upon a class of service value encoded in a received IP packet if such class of service value is encoded in the packet and the port is a trunk port; (2) assigning the packet an initial class of service value configured for the port if (a) no class of service value encoded in the received IP packet and if the port is a trunk port, or (b) the port is an access port; (3) reassigning the packet a class of service value configured for the packet's MAC destination address if such value is configured; and (4) comparing said flow information from the packet with configured Access Control Entries (ACEs) and if the packet's flow matches a configured ACE reassigning the packet a class of service value corresponding to the class of service value configured for the matched ACE; an active flow table storing active flows and corresponding quality of service parameters specifying at least an output queue for each active flow; a codepoint generator responsive to said flow information extractor and said quality of service parameters
- 9. Sheth et al, US 2002/0103925 A1: using a dynamically modifiable combination of fields of an IPv4 packet header to classify the packet
- 10. Parker et al, US 7463628 B2: command instruction set for a packet data modification processor has a format in which a packet address, if present, specifies an encapsulated layer within the packet and a location within this encapsulated layer; wherein the at least one command within the command instruction set specifies deriving at least a portion of a first packet from data taken from a second packet or the command
- 11. Parker et al, US 7292591 B2: packet parser functions are distributed throughout a packet processing system comprising a packet classification system and a packet modification system

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Xavier Wong whose telephone number is 571.270.1780. The examiner can normally be reached on Monday through Friday 8:30 am - 6:00 pm (EST).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Seema Rao can be reached on 571.272.3174. The fax phone number for the organization where this application or proceeding is assigned is 571.273.8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866.217.9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800.786.9199 (IN USA OR CANADA) or 571.272.1000.

/Xavier Szewai Wong/ x.s.w 29th June 2009 /Seema S. Rao/ Supervisory Patent Examiner, Art Unit 2416